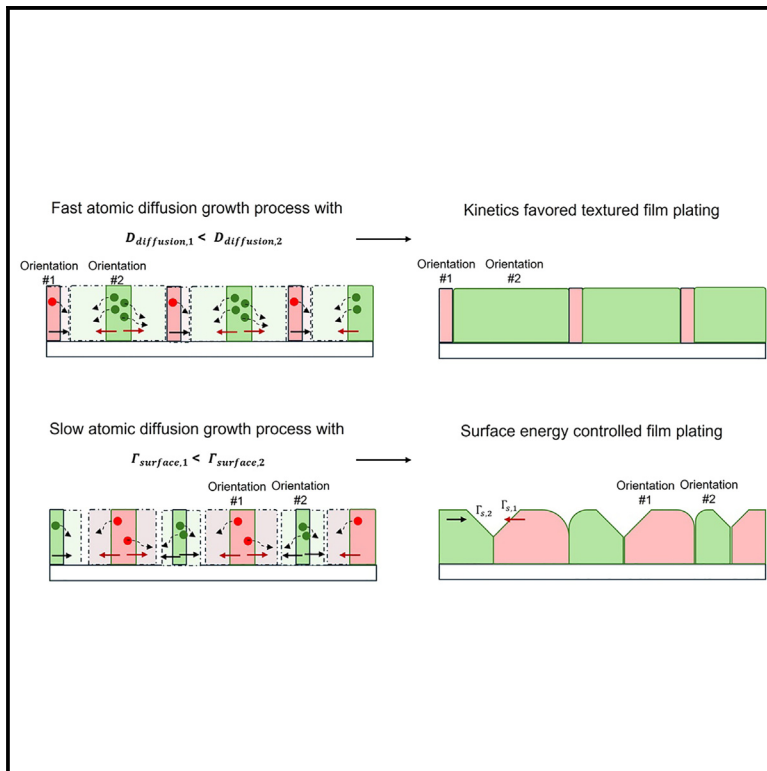


# Grain selection growth of soft metal in electrochemical processes

## Graphical abstract



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## In brief

Developing alkali metal anodes is crucial in energy storage technology due to their high-energy density. The texture of deposited alkali metal is a critical factor influencing the cycle life of batteries with metallic anodes. Here, a general thermodynamic theory and phase-field model are formulated to study the grain growth of alkali metals. Coupled with experimental observations, this study provides quantitative insights into the texture formation of alkali metals during electrochemical processes.

## Highlights

- Characterize the soft metal texture under various conditions
- Develop a thermodynamic theory and phase-field model for texture formation
- Identify desirable textures for improving plating/stripping efficiency
- Design an interfacial layer for desirable grain growth

Zhang et al., 2025, Joule 9, 101847

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<https://doi.org/10.1016/j.joule.2025.101847>

Article

# Grain selection growth of soft metal in electrochemical processes

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<https://doi.org/10.1016/j.joule.2025.101847>

**CONTEXT & SCALE** The use of alkali metal anode in all-solid-state batteries has been very challenging, particularly under low stack pressures. While tremendous efforts have been made to investigate the morphology of alkali metals, such as lithium plating and dendrites, the texture remains rarely explored. The term “texture” refers to the grain orientation that is oriented in a particular direction instead of random distribution. Plasma-focused ion beam-electron backscatter diffraction (PFIB-EBSD) enables the characterization of the metal texture under various electrochemical plating and stripping conditions. The experimental observations are complemented with phase-field modeling built from the thermodynamic theory. This study highlights the competition of surface energy and strain energy for texture formation of alkali metals. Understanding how the atomic diffusion and surface energy of alkali metals dominate grain selection growth during electrochemical processes can explain the kinetic constraints of solid-state batteries using metal anodes, particularly at room temperature. Leveraging this mechanistic understanding, desirable textures can be achieved through interface engineering to improve the plating/stripping efficiency at high current densities.

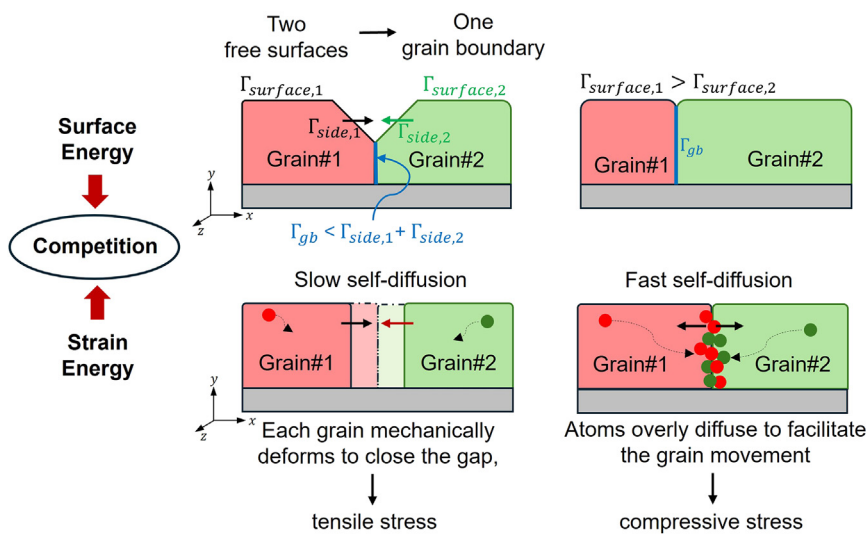
## SUMMARY

Soft metals like lithium and sodium play a critical role in battery technology owing to their high-energy density. Texture formation by grain selection growth of soft metals during electrochemical processes is a crucial factor affecting power and safety. Here, a general thermodynamic theory and phase-field model are formulated to study the grain selection growth of soft metals. Our study focuses on the interplay between surface energy and atomic mobility-related intrinsic strain energy in grain selection growth. Differences in grain selection growth arise from the anisotropy in surface energy and the diffusion barrier of soft metal atoms. Our findings highlight the kinetic limitations of solid-state Li metal batteries, which originate from load stress-induced surface energy anisotropy. These insights lead to the development of an amorphous  $\text{Li}_x\text{Si}_{1-x}$  ( $0.50 < x < 0.79$ ) seed layer, improving the critical current density at room temperature for anode-free Li solid-state batteries through the control of grain selection growth.

## INTRODUCTION

The transition from intercalation-type anodes to metallic anodes represents a significant paradigm shift in battery technology.<sup>1</sup> Li metal is considered an ultimate anode material for future high-

energy rechargeable batteries with specific energy higher than 350 Wh/kg if paired with intercalation cathodes and 500 Wh/kg if paired with conversion cathodes.<sup>1</sup> The energy density of Li metal batteries to withstand repeated charge and discharge cycles depends on the efficiency of lithium deposition and



**Figure 1. Schematic illustration of the selective grain growth mechanism in electro-deposited soft metal films**

The schematic highlights the thermodynamic driving force to form a grain boundary and the competition between surface energy and strain energy in governing grain domination.

In this study, we formulate a thermodynamically consistent theoretical framework for deposited soft metals demonstrating texture. Given the thin nature of the deposited film (<50 μm), heat generated during grain growth efficiently dissipates to the surface; thus, the thermal anisotropy can be disregarded. As a result, the competition is primarily between surface energy and strain energy. Considering two island grain nuclei, as they grow, they naturally close the gap

stripping. The morphology and microstructure of deposited Li metal are critical factors influencing the Coulombic efficiency (CE) and cycle life of Li metal batteries.<sup>2,3</sup> The ideal microstructure for Li deposits entails dense formations with minimal porosity (<1%), a columnar structure featuring reduced surface area, and large grain sizes (>50 μm) exhibiting uniform defect distribution.<sup>4</sup> These favored attributes promote uniform Li stripping at the reaction front, thereby avoiding the formation of highly porous and whisker-like inactive Li structures.

In the Li metal battery with solid-state electrolytes (SSEs) such as Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub> (LLZO) and Li<sub>6</sub>PS<sub>5</sub>Cl (LPSCI), electrochemically deposited lithium metal typically exhibits a fully dense morphology with large grain size.<sup>5,6</sup> However, low critical current densities (<1.5 mA/cm<sup>2</sup>) are reported over which a cell failure occurs (Figure S1; Table S1). While elevated temperatures yield high current densities (~3 mA/cm<sup>2</sup>), such values remain incomparable to those achieved by Li metal batteries with liquid electrolytes at room temperature,<sup>7</sup> as demonstrated in Figure S1. The kinetic limitations of Li metal are fundamentally influenced by crystallographic orientation, owing to the anisotropic nature of Li metal growth. Unlike characteristic morphology, the orientation of Li metal growth with the SSE has not been previously explored.

Metals growth can exhibit a preferred crystallographic orientation, known as texture. The variations of total energy during deposition consist of changes in strain, thermal, and surface energy density,  $\Delta U = \Delta \Gamma_{\text{surface}} + \Delta F_{\text{strain}} + \Delta F_{\text{thermal}}$ .<sup>8,9</sup> Grain selection growth, as described by thermodynamic theory, is a fundamental process that minimizes the total energy of the system. Generally, grains characterized by low surface energy are thermodynamically favored.<sup>10</sup> Furthermore, grains with higher atomic mobility are preferable, as rapid movement of atoms facilitates interface migration, inducing less strain energy.<sup>11</sup> Additionally, grains with high thermal conductivity grow preferentially as they enable rapid heat dissipation.<sup>12</sup> However, when determining texture, complexities arise because grains with the lowest surface energy may not invariably exhibit the lowest strain energy and thermal energy.

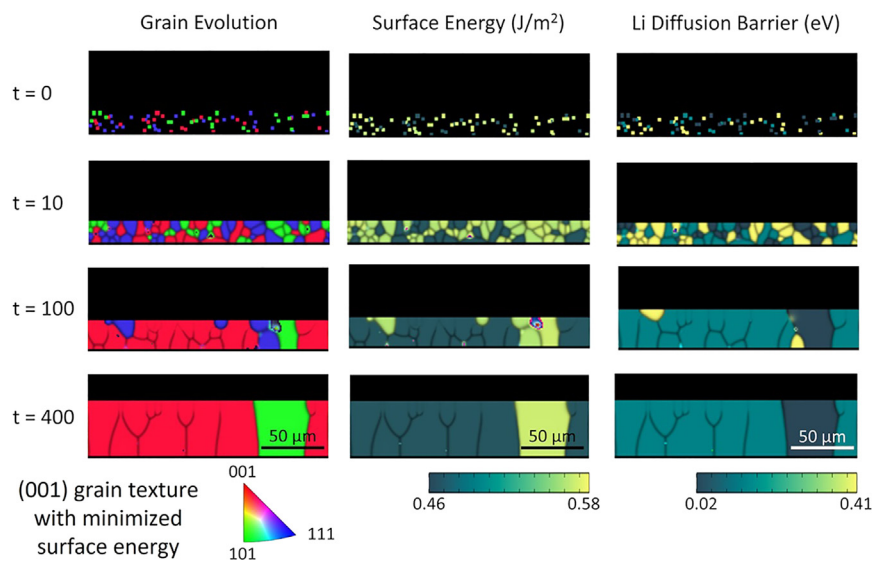
between them, driven by thermodynamic tendency to minimize the surface energy. This process reduces two surface energies ( $\Gamma_{\text{side},1} + \Gamma_{\text{side},2}$ ) into a grain boundary energy  $\Gamma_{\text{gb}}$  (Figure 1). The grain selection growth is thermodynamically controlled by the energy density difference between grain 1 and grain 2:

$$\Delta U_{12} = \Delta \Gamma_{\text{surface}} + \Delta F_{\text{strain}} \quad (\text{Equation 1})$$

where  $\Delta \Gamma_{\text{surface}} = (E_{S,1} - E_{S,2})/h$  is the surface energy density difference,  $h$  is the film thickness (m), and  $E_{S,i}$  is the surface energy of grain  $i$  (J/m<sup>2</sup>). Note that the grain boundary energy is excluded from this equation, as it is shared equally between neighboring grains and thus does not influence the grain selection. The difference in strain energy density,  $\Delta F_{\text{strain}}$ , arises from both intrinsic and extrinsic stresses.

The extrinsic strain depends on external stimuli such as applied stress ( $\sigma$ ), rather than the mechanical properties.<sup>13,14</sup> Extrinsic strain arises from external stimuli, such as stacking pressure in anode-free solid-state batteries. As typical ranges of applied stacking pressure usually exceed yield strength of soft metals, creep behavior is expected. However, the impact of creep anisotropy is expected to be small compared with other factors, such as surface energy and self-diffusion barriers, and can thus be considered negligible. This is because the dominant creep mechanism is dislocation climb, which is likely more temperature-dependent than orientation-dependent.<sup>15</sup> Meanwhile, intrinsic stress is closely related to atomic mobility. As two grains approach each other, they undergo mechanical deformation, generating tensile stress within. However, a higher atomic mobility facilitates such interface movement through atomic migration, rather than mechanical deformation alone, resulting in less tensile or even compressive strain (Figure 1). The relationship between intrinsic strain and atomic mobility is

$$\epsilon_{\text{intrinsic}} = \epsilon_c + (\epsilon_T - \epsilon_c) \exp\left(\frac{-\beta D_i}{LR}\right) \quad (\text{Equation 2})$$



**Figure 2. Grain selection growth for Li metal through thermodynamic theory-based phase-field modeling**

Phase-field simulations illustrate the grain evolution during the electroplating of Li on a Cu substrate with the SSE, together with surface energy and Li diffusion barrier of each grain.

changes of approximately  $0.08 \text{ J/m}^2$ .<sup>17</sup> This is because the more densely packed (101) surface of the body-centered cubic (BCC) structure shows a clear preference for lattice expansion, whereas the opposite is true for the less densely packed (001) surface. Given the substantial load stress ( $>10 \text{ MPa}$ ) applied with SSE and the soft nature of Li metal (with compressive strength approximately  $<1 \text{ MPa}$ ), load stress effects on surface energy have the potential to significantly alter growth behavior. Besides incorporating

where  $D_i$  is the self-diffusion coefficient of each grain.<sup>16</sup>  $\varepsilon_T$  is the maximum possible tensile strain induced when grains mechanically deform to close the gap without atomic diffusion assistance.  $\varepsilon_C$  is the maximum possible compressive strain from atomic additions to the grain boundary under conditions of infinitely large atomic diffusivity.  $\beta$  is a fitting constant.  $L$  and  $R$  are grain size and the deposition rate, respectively.

Recent first-principles calculation results suggest that self-diffusion barriers for Li, Na, and K are strongly anisotropic. In addition, surface energy also exhibits anisotropy and can significantly increase when the lattice parameter shifts due to load stress ( $\sim 3\%$  strain).<sup>17</sup> To capture the grain selection, we implement the thermodynamic theory into grain growth phase-field model for electrochemically deposited soft metals within the context of SSE.

## RESULTS AND DISCUSSION

### Load stress-induced selective grain growth

Differences in grain selection growth arise from the anisotropy in surface energy and diffusion barrier of soft metal atoms, as informed by density functional theory (DFT) calculations.<sup>17,18</sup> These DFT-informed surface energies and self-diffusion barriers serve as inputs to our phase-field model by establishing correlations with the phase-field constants. Specifically, atomic mobility ( $L_g$ ), which governs the growth rate of grains, is modeled to increase exponentially as self-diffusion barriers decrease, following the Arrhenius equation. Additionally, the gradient energy coefficient ( $\kappa_g$ ) at the grain surface is set to increase with the increased surface energy. The larger gradient energy results in higher resistance for a grain to grow. Mathematical relationships are provided in the [methods](#) section.

As illustrated in [Figure S2](#), lattice strain can significantly alter the surface energy. The (001) surface exhibits the highest stability under a compression of 3% for Li metal. Notably, the (101) surface displays the greatest variation in surface energy, with

grain-dependent surface energy and diffusion barrier, phase-field simulation was set up with the consideration of deposition rate, areal capacity, deposition temperature, and applied stress (more details are provided in the [methods](#) section). The simulation started with nucleation at the bottom of the computational domain (simulation snapshot at  $t = 0$  in [Figure 2](#)). A finite interface thickness was introduced to reflect imperfect solid-solid contact between the SSE and current collector, where nuclei form at contact points rather than uniformly on a flat plane. Considering the polycrystalline nature of the Cu substrate, Li nuclei were randomly assigned to one of the three representative orientations, namely the (001), (101), or (111). This condition captures how each Cu orientation has a distinct lattice match with specific Li orientations, dictating the thermodynamic preference for nuclei orientation.<sup>19</sup> A total of 75 grains were nucleated for statistical significance.

The discrepancy in surface energy is evident at time  $t = 10 \text{ s}$  (refer to the surface energy column in [Figure 2](#)). As grains continue to grow and Li deposition progresses, grains with high surface energy, such as (101), are consumed by more thermodynamics-favorable grains, notably (001), at time  $t = 400 \text{ s}$ . The substantial variation of surface energy among all the grains outweighs the effects of Li diffusion, leading to grain selection based on minimizing the surface energy. Large load stress applied in the solid-state battery helps ensure intimate contact between the SSE and the electrodes, promoting efficient Li-ion transport and minimizing interfacial resistance.<sup>20,21</sup> However, induced lattice strain may favor the growth of (001) grain, characterized by a larger lithium diffusion barrier (0.14 eV). This surface energy anisotropy indicates the kinetic constraints of lithium metal anodes with SSEs.

In battery systems utilizing liquid electrolytes, the applied load stress is considerably lower (on the order of hundreds of kPa), resulting in negligible lattice strain on Li metal.<sup>2</sup> As shown in [Figure S3](#), in the liquid electrolyte case, (101) grains are predicted to prevail. This dominance arises due to the similarity in surface

energy among all grain orientations, coupled with pronounced discrepancies in Li diffusion barriers among these orientations. Consequently, selection is based on the Li diffusion barrier, favoring (101) grains which exhibit the lowest Li diffusion barrier (0.02 eV). The anticipated (101) grain texture during lithium electrodeposition with liquid electrolytes has been observed by recent research through X-ray diffraction (XRD) and pole-figure analysis.<sup>22,23</sup> This preference for (101) Li metal texture also elucidates the higher critical current density reported in lithium metal batteries employing liquid electrolytes at room temperature.

Notably, a direct comparison across different alkali metals reveals the surface energy anisotropy of Na and K, showing less susceptibility to lattice strain.<sup>17</sup> This suggests that diffusion-favoring (101) grains,<sup>18</sup> characterized by the lowest diffusion barrier of Na (0.04 eV) and K (0.02 eV), may dominate in Na and K metal solid-state batteries operating at room temperature, even under significant load stress (Figure S4). The phase-field simulation for Na and K growth is conducted in a similar manner to the Li growth. As expected, the results show (101) orientation is predominated, and the grain selection is based on maximizing the Na and K diffusion (Figures S5 and S6). This prediction is supported by a recent experimental result that Na grains in the (101) orientation show preferential growth during deposition with SSEs at 25°C.<sup>24</sup> These results suggest that Na metal is promising for solid-state batteries. Anode-free solid-state batteries featuring Na metal and bare Al current collectors have recently achieved a critical current density of 1 mA/cm<sup>2</sup> with reversible cycling, reaching capacities as high as 7 mAh/cm<sup>2</sup> under a stacking pressure of 10 MPa.<sup>25</sup>

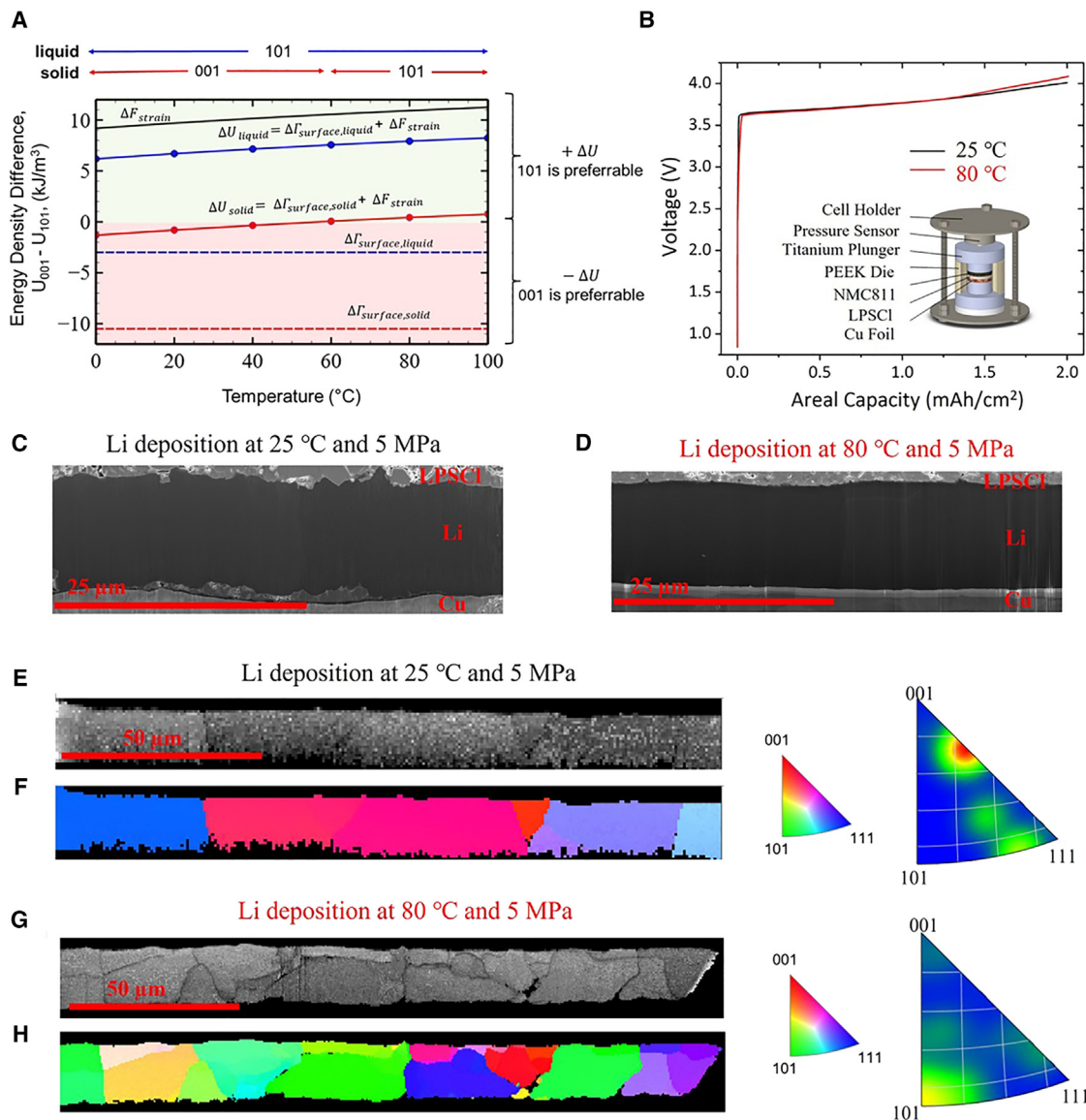
### Temperature effects on grain selection growth

This section aims to analyze the temperature effects on grain selections. Since (111) grain has the largest diffusion barrier as well as surface energy, indicating the least favorable grain, the competition between (001) and (101) is analyzed. The grain selection can be based on either minimizing the strain energy due to Li diffusion ( $\Delta F_{strain}$ ) or surface energy ( $\Delta I_{surface}$ ). To determine which mechanism is dominated, the magnitude of each energy term must be calculated based on Equations 5 and 12 in the methods section. Generally,  $\Delta F_{strain}$  is positive and rises as temperature increases. This aligns with the physical perspective that Li atoms exhibit increased mobility at higher temperatures and thus reduce the strain energy density, potentially making those high-mobility grains become even more energetically preferable.

By analyzing Li (001) and (110) grains, we use DFT-obtained data and parameters listed in Table S2. For the liquid case (Figure 3A), the  $\Delta F_{strain}$  is largely positive, while  $\Delta I_{surface}$  is slightly negative, indicating that grain selection is predominantly driven by Li atom diffusion favoring the (101) texture. By contrast, for the solid case (Figure 3A),  $\Delta F_{strain}$  and  $\Delta I_{surface}$  are comparable. At room temperature or below, the total energy  $\Delta U$  is negative, indicating that (001) grains are favored as controlled by the anisotropy in surface energy. However, as temperature increases,  $\Delta F_{strain}$  becomes more significant, outweighing the magnitude of  $\Delta I_{surface}$ . As a result, the selection growth of (101) grains becomes possible at high temperatures for the solid case.

To validate temperature effects in the solid case, Li metal was electrodeposited onto a Cu substrate using a full cell setup, as illustrated in the inset of Figure 3B. A current density of 0.1 mA/cm<sup>2</sup> was applied for the Li deposition (2 mAh/cm<sup>2</sup>) at both 25°C and 80°C, with a controlled stacking pressure of 5 MPa. The selection of a relatively small current density was to minimize the current focusing effects (localized Li-ion concentration gradient), caused by inherited surface roughness or defects. At low current densities, Li-ions have sufficient time to redistribute uniformly across the electrode/electrolyte interface for uniform Li deposition. In addition, the low current density keeps the system closer to thermodynamics equilibrium, minimizing the rate-dependent effect. This is reflected in our phase-field model, where grain growth is simulated in a layer-by-layer manner, with each time step corresponding to the deposition of a discrete layer. At this current density, comparable electrochemical performance is expected for both temperatures, as demonstrated in Figure 3B. To investigate the morphology and texture of the deposited Li, plasma-focused ion beam (PFIB) milling coupled with electron backscattered diffraction (EBSD) mapping was employed. PFIB does not require cryogenic temperatures because of the minimal reaction between the Xe<sup>+</sup> plasma beam and Li. Figures 3C and 3D display scanning electron microscopy (SEM) images of deposited Li after PFIB milling. The Li electrodeposition exhibits fully dense morphology for both temperatures investigated. Near the Li/Cu interface, slight porous regions are discernible in the sample deposited at 25°C. This observation results from the well-documented lithophobic nature of the Cu substrate.<sup>26</sup> As illustrated in Figure 3D, the elevated temperature mitigates this issue by improving interface adhesion.

Typically, XRD-based pole-figure analysis was employed to examine the grain orientation growth of Li.<sup>22,23</sup> However, working with Li presents a significant challenge due to its low atomic number. Furthermore, the positioning of Li deposits between a Cu substrate and a thick SSE layer renders XRD impractical for studying the buried thin Li layer (10–20 μm). Little crystallographic characterization of Li electrodeposition in solid-state batteries has been conducted thus far. In this work, PFIB-EBSD with lower acceleration voltage (7 kV) is found to be a suitable approach to provide absolute crystal orientation of every Li grain observed in the cross-section and to create a pole figure for the growth direction (EBSD approach details are provided in the methods section; Figures S7–S9). As shown in Figures 3E and 3F, the Li grains deposited at 25°C exhibit preferred growth close to the (001) direction. By contrast, for lithium deposition at 80°C with the same LPSCI electrolyte, the selection growth of (101) grains becomes apparent (Figures 3G and 3H). This texture transition aligns with the prediction regarding temperature effects, which is further supported by the statistical analysis on the EBSD dataset obtained from three different cross-sections for both temperatures (Figures S10 and S11). Although the presented data include approximately 30 crystals for each temperature, it was obtained through a time-intensive workflow involving EBSD experiments on large cross-sections. Expanding the database in future studies could improve statistical quality and support more robust conclusions. Given that the (101) surface exhibits



**Figure 3. Temperature effects on grain selection growth of Li metal in anode-free solid-state battery**

(A) Analyzing the competition between strain and surface energy density at various temperatures for (001) and (101) grains of Li. When  $\Delta U = U_{001} - U_{101} = \Delta \Gamma_{surface} + \Delta F_{strain} > 0$ , (101) grain is preferable, and if negative, (001) is favored. Temperature influences strain energy change via diffusion rate, with no impact on the surface energy density.

(B) Voltage profiles during the first Li deposition of anode-free solid-state batteries at different temperatures. The battery configuration schematic is shown as the inset. Deposition current density is 0.1 mA/cm<sup>2</sup>.

(C) PFIB-SEM cross-section image of deposited Li on a Cu electrode at 25°C.

(D) PFIB-SEM cross-section image of deposited Li on a Cu electrode at 80°C.

(E and F) The band contrast image and EBSD mapping results along the growth direction for the Li metal deposited at 25°C.

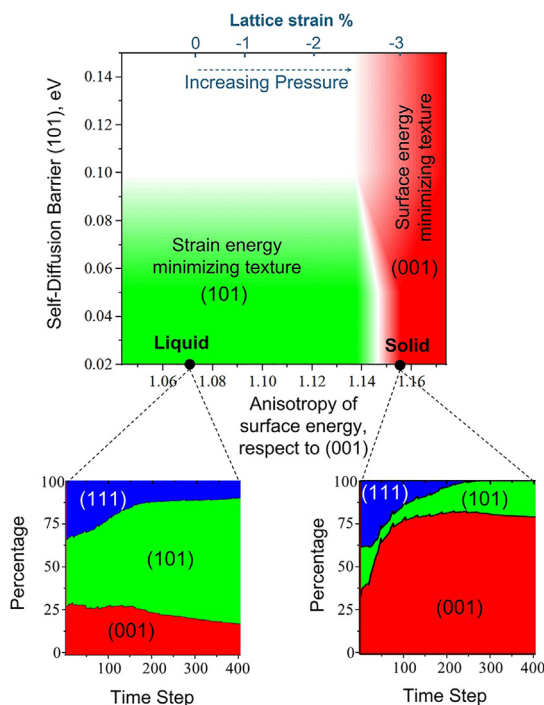
(G and H) The band contrast image and EBSD mapping results along the growth direction for the Li metal deposited at 80°C. The inverse pole figures on the right illustrate the orientation for each pixel in the map.

a smaller in-plane diffusion barrier, surface roughness during the Li stripping process is expected to be better healed by adjacent Li atoms, thereby preventing the formation of whisker-like inactive Li.<sup>27</sup> The uniform deposition and stripping process associated with the Li (101) grains can effectively account for the achievement of current densities

exceeding 1.5 mA/cm<sup>2</sup> only at elevated temperatures for solid-state batteries featuring a Li metal anode (Figure S1).

#### Pressure effects on grain selection growth

Further parametric studies were performed to generate a phase map for the Li texture growth, considering the anisotropy of



**Figure 4. A phase map illustrates the phase-field prediction of Li grain selection growth**

Li texture is determined by Li diffusion barrier of (101) grains and surface energy anisotropy, highlighting the proportion of each orientation within the simulated liquid and solid system.

surface energy and Li diffusion barrier of the (101) grains, which is the lowest among all orientations. As shown in Figure 4, there are two distinct regions: “strain energy minimizing texture,” favoring (101), and “surface energy minimizing texture,” favoring (001). DFT calculations suggest that increasing pressure raises lattice strain, which in turn alters the surface energy, making it more anisotropic.<sup>17</sup> Once surface energy anisotropy surpasses a critical threshold, grain selection may shift from favoring low strain energy (rapid Li diffusion) to favoring low surface energy grains, particularly under high stacking pressure in solid systems. However, in the liquid systems, where the pressure magnitude is less significant, the system would favor grains with rapid Li diffusion. Furthermore, if the Li diffusion barrier of (101) grains increases and becomes comparable to those of other grains, the anisotropy in Li diffusion is diminished. As a result, (101) grains may become less dominant, potentially leading to an equal mixing with other grains.

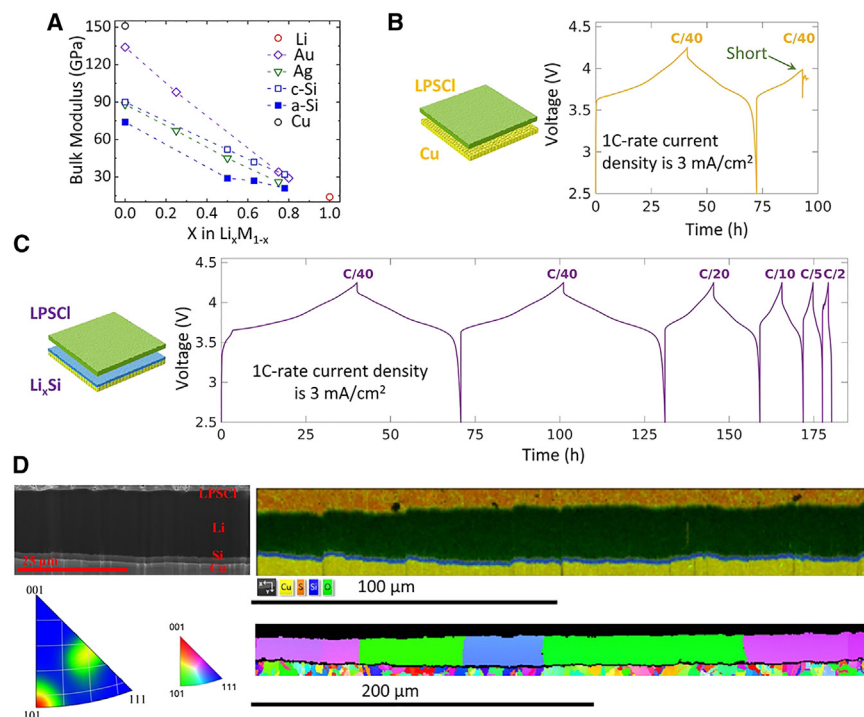
Another important factor in the grain growth process, currently not addressed in the model but worthy of discussion, is the pressure effect on the size of the crystal. The pressure applied perpendicular to the surface of the electrodeposited metal could promote in-plane grain coalescence. Given the low yield strength of Li (0.41~0.89 MPa), this effect is particularly pronounced compared with other metals,<sup>28</sup> even at room temperatures. Therefore, it is unsurprising that solid systems subjected to higher stacking pressures exhibit larger sizes in the deposited Li layer. To investigate the pressure effect, we

employed a customized load cell (Figure S12) for Li deposition using an ether-based bisalt electrolyte.<sup>29</sup> The selection of a liquid electrolyte aimed to reduce the stacking pressure by at least one order of magnitude for the examination of texture transition. The cross-section morphology at 350 kPa (Figure S12) reveals the formation of columnar Li deposits. Notably, the granular diameter of the deposited Li measures 5–10  $\mu\text{m}$ , considerably smaller than the 30–50  $\mu\text{m}$  observed in the solid-state scenario (Figures 3E and 3G) at the same current density of 0.1 mA/cm<sup>2</sup>. Furthermore, the dominant presence of the (101) Li texture is evident in the liquid case, even at room temperature. Prior studies on Li metal texture using various liquid electrolytes have similarly reported the prevalence of the (101) texture,<sup>22,23</sup> suggesting that electrolyte type has minimal influence on selection growth at modest current densities. The existence of the (101) Li texture appears to be an intrinsic characteristic of Li deposition in liquid electrolytes, representing a direct demonstration of the proposed strain energy minimizing texture in the lower pressure region.

### Interfacial layer design for grain selection growth

Achieving optimal interfacial contact in solid-state batteries requires the application of adequate load stress so that the mechanical properties of the involved solids must be appropriately designed. At any given SSE, a reduced bulk modulus difference between the substrate and Li corresponds to a smaller lattice strain within the Li phase,<sup>30</sup> thereby facilitating the preferential growth of diffusion-favoring (101) grains. This effect is pronounced in anode-free solid-state batteries, wherein the current collector functions as the substrate for Li deposition. As shown in Figure 5A, the larger bulk modulus of the Cu substrate ( $B = 151$  GPa) can induce greater lattice strain in the soft Li metal ( $B = 14$  GPa). Previous studies in anode-free solid-state batteries have explored interfacial layer materials (referred to as the “seed” layer), such as Ag and Au, to improve overall performance.<sup>5,32,33</sup> The dotted line connecting pure substance and  $\text{Li}_x\text{M}_{1-x}$  alloy phases in Figure 5A represents a linear relation between the bulk modulus and the Li composition for the Ag and Au seed layers. Despite the inherent lithophilic properties of Ag and Au facilitating the formation of alloy phases with decreased bulk modulus values, achieving a substantial reduction below 30 GPa mandates a considerable Li alloy composition ( $x = 0.8$ ). Motivated by recent DFT calculations,<sup>31</sup> an amorphous Si seed layer is proposed in this study to reduce the lattice strain within Li metal. In contrast to crystalline structures, amorphous Si and  $\text{Li}_x\text{Si}_{1-x}$  alloy phases exhibit deviations from the anticipated linear relationship between bulk modulus and Li composition. Consequently, a substantial softening occurs, yielding a bulk modulus below 30 GPa when  $x$  exceeds 0.5. Furthermore, with increasing Li content, the bandgap of the Li-Si alloy diminishes, transitioning toward a metallic character suitable for use as current collectors.

A 500 nm-thick layer of amorphous Si (Figure S13) was deposited onto a Cu substrate using the same sputtering technique outlined in our prior investigation.<sup>34</sup> Subsequently, anode-free solid-state full cells were assembled to assess the differences in rate performance between the bare Cu current collector and the Cu current collector with Si deposition. Note that the areal capacity for this rate performance assessment was increased to 3 mAh/cm<sup>2</sup>



**Figure 5. Interfacial layer design for grain selection growth of Li metal anode in solid-state batteries**

(A) Bulk modulus of Li metal, Cu metal, and different lithium alloys. The data for crystalline and amorphous  $\text{Li}_x\text{Si}$  alloys were obtained from reference.<sup>31</sup> The bulk modulus data for Li, Cu,  $\text{Li}_x\text{Au}$ , and  $\text{Li}_x\text{Ag}$  were sourced from the Materials Project.

(B) Electrochemical performance of anode-free solid-state full cell with bare Cu as the current collector at 25°C.

(C) Electrochemical performance of anode-free solid-state full cell with amorphous  $\text{Li}_x\text{Si}$  as the seed layer at 25°C.

(D) The PFIB-SEM cross-section image, EDS, and EBSD mapping results along the growth direction for the Li metal deposited with the amorphous  $\text{Li}_x\text{Si}$  seed layer at 25°C. Deposition current density is 0.1 mA/cm<sup>2</sup>. The inverse pole figure on the left illustrates the orientation for each pixel in the EBSD map.

to align with application considerations. As shown in Figure 5B, it is evident that the cell with bare Cu experienced a short circuit during the second cycle, even at the low rate of C/40. As for the Si-deposited Cu (Figure 5C), a distinct two-step voltage profile for the first charge emerged due to Si lithiation preceding Li deposition onto the  $\text{Li}_x\text{Si}_{1-x}$  alloy (Figure S14). The capacity of Si lithiation was calculated to be 2320 mAh/g, corresponding to  $\text{Li}_{2.43}\text{Si}$  or  $\text{Li}_{0.7}\text{Si}_{0.3}$ , a composition falling short of full lithiation up to  $\text{Li}_{3.75}\text{Si}$  or  $\text{Li}_{0.79}\text{Si}_{0.21}$ , thereby maintaining Si within the amorphous phase range to avoid recrystallization.<sup>35</sup> Furthermore, the absence of Si (de)lithiation features below 3.7 V from the first discharge suggests the enduring presence of the formed  $\text{Li}_{0.7}\text{Si}_{0.3}$  seed layer throughout subsequent cycling. The anode-free battery featuring Si-deposited Cu sustains operations up to a higher rate of C/2 (1.5 mA/cm<sup>2</sup>), outperforming other literature's results at room temperature (Table S3). By pairing with the thick cathode, an areal capacity of 9 mAh/cm<sup>2</sup> is achieved for the anode-free battery due to the designed Si seed layer (Figures S15 and S16).

To validate the hypothesis regarding grain selection growth, the cross-section of Li metal deposited on the  $\text{Li}_{0.7}\text{Si}_{0.3}$  seed layer for an areal capacity of 2 mAh/cm<sup>2</sup> was obtained with PFIB for further investigation. As shown in the PFIB-SEM image in Figure 5D, the Si seed layer retains its dense and thin film nature. Elemental analysis conducted via energy dispersive spectroscopy (EDS) in Figure 5D illustrates the uniform growth of Li between the seed layer and LPSCI electrolyte. EDS results with a higher magnification in Figure S17 demonstrate the thickness of the Si seed layer increases to approximately 1.2 μm due to the lithiation process, consistent with

findings from prior research.<sup>36</sup> Significantly, even at a deposition temperature of 25°C, the Li (101) grains become evident with the Si seed layer (Figures 5D and S18). This contrasts with the (001) preferred grains observed previously at the same temperature when utilizing a bare Cu substrate, which manifests the critical role of grain selection growth in facilitating fast kinetics during lithium deposition and stripping processes.

## Conclusions

The intricate electro-chemo-mechanical dynamics inherent in solid-state batteries necessitate model-informed experiments to establish a framework for predictive analysis. This work unveils how the surface energy anisotropy of soft metals can dominate grain selection growth during electrochemical processes, imposing kinetic constraints, particularly at room temperature. Leveraging this mechanistic understanding, the critical current density of anode-free solid-state batteries can be improved through the design of a  $\text{Li}_x\text{Si}_{1-x}$  (0.50 < x < 0.79) interfacial layer. The optimal interfacial layer should meet the following criteria: (1) possess a bulk modulus (<30 GPa) similar to that of Li metal to alleviate load stress-induced surface energy anisotropy, (2) exhibit an amorphous structure free of grain boundaries to suppress Li penetration, (3) demonstrate electrochemical stability upon contact with Li metal under reductive potential, (4) offer electronic conductivity to function as the current collector, and (5) display lithophilic properties to lower the nucleation barrier. These findings extend to other soft metal systems, such as Na, which exhibits a bulk modulus (8 GPa) even smaller than that of Li. The less surface energy anisotropy of Na can inform the grain selection that is based on maximizing the Na diffusion even at room temperature, indicating that Na metal holds promise for solid-state batteries.



## METHODS

### Thermodynamics of grain selection growth

The total energy of each grain in a system contains surface, strain, and thermal energy density:

$$U = \Gamma_{\text{surface}} + F_{\text{strain}} + F_{\text{thermal}} \quad (\text{Equation 3})$$

When considering two adjacent grains denoted as grain 1 and 2, the analysis for grain selection can be done based on the grain energy density differences:

$$\Delta U_{12} = U_1 - U_2 = \Delta \Gamma_{\text{surface}} + \Delta F_{\text{strain}} + \Delta F_{\text{thermal}} \quad (\text{Equation 4})$$

When  $\Delta U_{12}$  is negative, grain 1 is more energetically preferable than grain 2, and vice versa. Furthermore, due to the thin nature of deposited film ( $<50 \mu\text{m}$ ), heat generated during deposition is expected to efficiently dissipate to the surface. Therefore, stored thermal energy is assumed isotropic for all orientations, and thermal contribution to the grain selection can be negligible,  $\Delta F_{\text{thermal}} \sim 0$ .

In addition, surface energy difference can be written as:

$$\Delta \Gamma_{\text{surface}} = (E_{S,1} - E_{S,2}) / h \quad (\text{Equation 5})$$

where  $h$  is the film thickness, and  $E_{S,i}$  is the surface energy of grain  $i$ . Noticeably, the magnitude of

$\Delta \Gamma_{\text{surface}}$  inversely depends on the film thickness. As the deposited film grows, the effect of surface energy on the grain selection is diminishing. Moreover, the strain energy density is stored mechanical energy due to grain deformation. According to the experimental measurements,<sup>13,14</sup> soft metals exhibit a power-law creep behavior. We thus formulate the strain energy by assuming the linear-elastic perfectly plastic curve, which can be written as:

$$F_{\text{strain}} = F_{\text{elastic}} + F_{\text{plastic}} = \frac{1}{2} E \varepsilon_y^2 + \sigma_y [\varepsilon - \varepsilon_y] \quad (\text{Equation 6})$$

or

$$F_{\text{strain}} = \sigma_y \varepsilon - \frac{1}{2} E \varepsilon_y^2 \quad (\text{Equation 7})$$

where  $\sigma_y$ ,  $\varepsilon_y$ , and  $E$  represent yield strength, yield strain, and elastic modulus, respectively. The yield strength may change significantly with size. The smaller size scale leads to higher strength, especially in soft metals like Li. As the film grows, the yield strength tends to decrease.<sup>37</sup> Assuming that yield strength is isotropic, the strain energy density difference between grain 1 and 2 is

$$\Delta F_{\text{strain}} = \sigma_y [\varepsilon_1 - \varepsilon_2] - \frac{1}{2} (E_1 - E_2) \varepsilon_y^2 \quad (\text{Equation 8})$$

Since elastic modulus for soft metals is usually isotropic, the difference of elastic strain energy is negligible. The Equation 7 is simply reduced to:

$$\Delta F_{\text{strain}} = \sigma_y [\varepsilon_1 - \varepsilon_2] \quad (\text{Equation 9})$$

In addition, total strain in each grain,  $\varepsilon_i$ , can be decomposed into intrinsic and extrinsic strain.

$$\varepsilon_i = \varepsilon_{\text{intrinsic}} + \varepsilon_{\text{extrinsic}} \quad (\text{Equation 10})$$

The extrinsic strain can result from external factors such as stacking pressure. However, soft metals typically behave with a creep flow like a fluid under a stack pressure of  $>1 \text{ MPa}$ . Under stacking pressure higher than the yield strength of soft metals, creep strain follows the power law:  $\dot{\varepsilon}_{\text{extrinsic}} = A \sigma^n$ .<sup>38,39</sup> Creep behavior might be anisotropic, leading to varying strain responses. However, at room temperature (a relatively high homologous temperature of  $\sim 0.66 T/T_m$  for Li),<sup>40,41</sup> the primary creep mechanism is dislocation climb, which involves movement of vacancy and thus is more presumably influenced by temperature rather than by orientation alone. The effect of creep anisotropy could be small compared with the anisotropy of other factors, such as surface energy and self-diffusion barriers. For liquid systems with stacking pressures in the hundreds of kPa or lower, creep behavior may shift to diffusional creep,<sup>38</sup> but the resulting extrinsic strain rate is likely minimal and thus excluded from analysis. Meanwhile, the intrinsic strain is deposition-induced strain. Two neighboring grains close the gap between each other to reduce their free surfaces by forming a grain boundary. Generally, such a process can be contributed to by mechanical work, i.e., the deformation of the grain. Tensile stress or strain is generated within as two grains pull toward each other. However, the diffusivity of atoms may alleviate the built-up tensile stress, which can be thought of as a mechanism similar to diffusional creep. Through atomic movement, this mechanism provides strain energy relief, minimizing strain energy accumulation during grain boundary formation. The relationship between strain and atomic mobility is

$$\varepsilon_{\text{intrinsic}} = \varepsilon_c + (\varepsilon_T - \varepsilon_c) \exp\left(\frac{-\beta D_i}{LR}\right) \quad (\text{Equation 11})$$

where  $D_i$  is the atomic diffusion coefficient of each grain.  $\varepsilon_T$  is the maximum possible tensile strain induced when grains mechanically deforming to close the gap without atomic diffusion assistance.  $\varepsilon_c$  is the maximum possible compressive strain from atomic additions to the grain boundary under conditions of infinitely large atomic diffusivity. And  $\beta$  is a fitting constant.  $L$  and  $R$  are grain size and the deposition rate, respectively. If atomic diffusion or  $D_i$  is very small, that  $\exp\left(\frac{-\beta D_i}{LR}\right) \sim 1$ , tensile strain is expected. If atomic diffusion is large,  $\exp\left(\frac{-\beta D_i}{LR}\right) \sim 0$ , compression is predicted. Combining Equations 7, 8, and 9, one can write:

$$\Delta F_{\text{strain}} = \sigma_y [\varepsilon_1 - \varepsilon_2] = A \left[ \exp\left(\frac{-\beta D_1}{LR}\right) - \exp\left(\frac{-\beta D_2}{LR}\right) \right] \quad (\text{Equation 12})$$

where  $A$  is a constant expressed as,  $A = \sigma_y (\varepsilon_T - \varepsilon_c)$ . If  $D_1 > D_2$ ,  $\Delta F_{\text{strain}}$  is negative, giving grain 1 is preferable than grain 2. Furthermore, the diffusion coefficients can be correlated with diffusion barrier  $E_{a,i}$ , through Arrhenius equation, written as

$$D_i = D_0 \exp\left(-\frac{E_{a,i}}{k_B T}\right) \quad (\text{Equation 13})$$

where  $D_0$  is a diffusion constant,  $k_B$  is Boltzmann constant, and  $T$  is temperature.

### Temperature analysis for grain selection growth

The general idea is to compare the magnitude in each type of energy density differences between (001) and (101) grains,  $\Delta F_{\text{strain}}$  and  $\Delta I_{\text{surface}}$ . If  $\Delta F_{\text{strain}} + \Delta I_{\text{surface}}$  is positive, (101) grains are more energetically favorable; otherwise, (001) grains are preferred. Grain size ( $L$ ) and thickness ( $h$ ) are set to be 10  $\mu\text{m}$ , as this scale matches the grain morphology observed experimentally. The deposition rate ( $R$ ) is 0.001  $\mu\text{m/s}$ , approximated from the C-rate and areal capacity applied in the experiment. Yield strength ( $\sigma_y$ ) is assumed to be 0.55 MPa within the measured range.<sup>13</sup> The difference between maximum possible tensile and compressive strain is assumed to be 0.2, given that lithium can undergo significant deformation. Pre-factor for diffusion ( $D_0$ ) is  $1 \times 10^{-15}$   $\text{m}^2/\text{s}$ , which is in the typical range of reported Li self-diffusion.<sup>42</sup> Lastly,  $\beta$  is a fitting constant, which varies between materials and requires experimental calibration. Measuring stress and strain to calibrate  $\beta$  in the deposited film is beyond the scope of this work. Therefore,  $\beta$  is assumed to be 1, which does not affect the trend of this analysis.

### Phase-field model for grain selection growth

The typical phase-field model for multiphase grain nucleation and growth has been extended to simulate the grain selection in the soft metal electrodeposition under different environments. Phase variables ( $\phi_1, \phi_2, \dots, \phi_n$ ) are introduced to be associated with each grain in the system, total number of  $n$ . Grains evolve to reduce the overall free energy in the system, which can mathematically be written, following the Allen-Cahn equation<sup>43</sup> as:

$$\frac{\partial \phi_q}{\partial t} = -L_q(T) \frac{\partial F}{\partial \phi_q} \quad (\text{Equation 14})$$

where  $q = 1, 2, \dots, n$  and  $L_q(T)$  is the temperature-dependent phase-field parameter related to the mobility of atoms. Grains with faster atomic mobility allow for quicker hopping of atoms across the grain boundaries, reducing the overall strain energy. The contribution of strain energy can thus be reflected in the growth mobility  $L_q(T)$ .  $F$  is the free energy functional, expressed as

$$F = \int \left[ \mathbf{w} \cdot \mathbf{f}_0(\phi_1, \phi_2, \dots, \phi_n) + \sum_{q=1}^n \frac{\kappa_q}{2} (\nabla \phi_q)^2 \right] d\vec{r} \quad (\text{Equation 15})$$

The first term on the right-hand side is the local free energy density,  $f_0(\phi_1, \phi_2, \dots, \phi_n)$  follows Landau expressions. The second term represents grain surface energy, which can be thought of as the resistance to development of the grain boundary. The resistance to growth for each grain depends on a constant,  $\kappa_q$ . In most cases, phase-field constants,  $L_q$  and  $\kappa_q$ , take normalized

values computed from physical properties; therefore, the correlation to the physical system as well as first-principle calculations are required.

### Bridging DFT information to phase-field modeling constants

From a physical aspect, the growth rate of each grain can be understood in terms of atom diffusion. Grains with faster atomic diffusion allow for quicker hopping of atoms across the grain boundaries, reducing the overall strain energy—a phenomenon that aligns with kinetics considerations. Nevertheless, grains with higher surface energy are energetically less favorable, suppressing the growth rate and even being consumed by surrounding grains as the system tends to minimize the overall energy, reflecting thermodynamics considerations.

We propose that atom diffusion is associated with  $L_q$ , a temperature-dependent mobility term in the phase-field model, which is correlated to the atom diffusion barrier,  $E_a$  (eV), through Arrhenius equation, written as:

$$L_q = L_1 \exp\left(\frac{L_2 - E_a}{k_B T}\right) \quad (\text{Equation 16})$$

where  $L_1$  is a constant related to atomic diffusion, which could be different from one to another system depending on the surface chemistry.  $L_2$  is a fitting constant, and  $T$  is temperature. With a larger value of  $L_q$ , rapid movement of a particular grain boundary is expected, resulting in a relatively large grain size compared with its neighboring grains.

Meanwhile, the surface energy is directly associated with the gradient energy coefficient term through an exponential equation as follows:

$$\kappa_q = \kappa_1 \exp\left(\frac{E_s}{E_0}\right) \quad (\text{Equation 17})$$

where  $\kappa_1$  and  $\kappa_2$  are fitting constants, and  $E_0$  is the reference grain surface energy, by which we assume the average  $E_s$  among the grains. Higher surface energy corresponds to a higher  $\kappa_q$ , resisting grain growth. Note that this term related to surface energy is not temperature-dependent by assuming surface remains solid far below the melting point.

In literature, DFT data, including the atom diffusion barrier and surface energy for each grain orientation, are available, as plotted in Figure S4. The fitting parameters in Equations 16 and 17 were selected such that the magnitudes for  $L_q$  and  $\kappa_q$  fall within the range of 0.1–10, which is not significantly far from their nominal values. This range allows for the observation of the interplay between the two parameters without interrupting simulation convergency for all three soft metals (Li, Na, and K):  $L_1 = 7.5$ ;  $L_2 = 0.27$ ;  $\kappa_1 = 4.1 \times 10^{-9}$ ,  $\kappa_2 = 20$ . Taking the Li case as an example, the conversion from DFT-derived data to phase-field input parameters is illustrated in Figure S2.

### Phase-field simulation setup

The nucleation site and type of grains are assumed to be identical for both liquid and solid cases to illustrate the effect of grain

growth. The following assumptions have been made for initial and boundary conditions: (1) layer-by-layer deposition growth (aligning with experimental conditions that employ a low current rate), (2) finite interface thickness (replicating the realistic nature of solid-solid contact points and surface roughness, rather than assuming a flat interface), and (3) random orientation of Li nuclei (reflecting the polycrystalline nature of the Cu substrate).

Upon grain nucleation and boundary setup, competitive growth throughout the progressive deposition was enabled by carrying out grain growth in a layer-by-layer fashion. This was done by dynamically activating the computational domain in an incremental, layer-wise manner. Without doing that and due to the inherent limitation of the phase-field model, the grain would grow upward aggressively and occupy the entire domain instantly, thus deviating from the gradual deposition with sufficient grain competition in practice.

The above-customized grain growth phase-field model was solved using finite difference method with explicit Euler scheme. All the simulations were performed in a rectangular domain with  $200\Delta x \times 70\Delta x$  grids, where  $\Delta x$  is the grid size and was chosen as  $0.5 \mu\text{m}$ . The time step of  $\Delta t = 0.01 \text{ s}$  was used for time discretization. Initial grain nucleation is set to random spots with random orientations (represented by colors). During the simulation, grains grow and occupy more black space in the domain.

### PFIB milling and SEM

The Li metal deposition samples were carefully stored in an Argon environment prior to microscopy analysis. To prevent oxidation and reaction with the atmosphere, all the samples were swiftly loaded into the microscope chamber.

For the analysis, we utilized Thermo Scientific Helios 5 Hydra UX DualBeam (PFIB-SEM), which allowed for large-area cross-sectional milling, as well as subsequent EBSD and EDS analysis. The solid and liquid cell samples were mounted on a specialized  $45^\circ$  pre-tilted holder.  $\text{Xe}^+$  ions were employed to mill and clean the cross-sections of various electrode samples.

To generate a cross-section that goes through the entire thickness of the electrode, including the Li metal and the underlying Cu foil, rough milling was performed at an FIB acceleration voltage of 30 kV and beam current of  $2.5 \mu\text{A}$ , resulting in a cross-section width of up to  $900 \mu\text{m}$ . This rough milling step also served to eliminate any surface oxidation that might have occurred during the brief exposure of the samples to the atmosphere during the loading process. Following rough milling, the electrode cross-sections were cleaned using a beam current of 200 nA. Both the intermediate and final cleaning were carried out at 30 kV.

### EBSD and EDS analysis

EBSD patterns and maps of the Li metal were collected using an Oxford Instrument Symmetry EBSD camera. The SEM conditions for EBSD collection were set at an acceleration voltage of 7 kV and a beam current of 6.4 nA. The Li metal was first indexed using the default lithium phase in the Oxford Aztec software. Additionally, EDS maps of the same region were obtained using an Oxford Instrument Ultim Max 170  $\text{mm}^2$  detector. All EDS maps are “True Maps”: after peak deconvolution and background correction.

Due to Li low atomic number and weak interaction with the electron beam, a 7 kV beam was used for the EBSD mapping, and 3 patterns were averaged for each pixel. Each data point (or pixel) on the EBSD mapping corresponds to a single scan spot on the sample. The colors in the image represent different crystallographic orientations, where each color in the mapping reflects a specific orientation family. Adjacent pixels with different colors indicate boundaries between regions with different orientations, which can correspond to grain boundaries. **Figure S8** demonstrates the importance of using lower voltage to minimize the interaction volume with Li. To minimize beam damage during acquisition, the pixel sampling was set to  $0.75 \mu\text{m}$ . Even using these conditions, the resulting bands are few and weak. As a result, significant regions in the map produced a low number of weak bands that were not solved using the default setup using the Hough transform. To overcome this, a pattern-matching approach was applied, using “MapSweeper,” a software package offered by Oxford Inst. One big advantage of the method is that one can verify the solution for each pattern is correct. **Figure S9** provides an example of such a solution for an originally unsolved pattern together with the dynamical diffraction simulation and the pattern match quality. The analysis was done using only the refinement and repair options and a minimum cross-correlation factor of 0.15 between the measured and simulated EBSD patterns.

### Full cells assembling and electrochemical measurements

LPSCI from NEI Corporation (USA) and Mitsui Kinzoku (Japan) was used as both catholyte and SSE separator.  $\text{LiNi}_{0.8}\text{Mn}_{0.1}\text{Co}_{0.1}\text{O}_2$  (NMC811) with a boron-based surface coating from LG Chem (Republic of Korea) was selected as the cathode. As a conducting agent, vapor-grown carbon fiber (VGCF) from Sigma Aldrich (graphitized, iron-free) was vacuum dried overnight at  $160^\circ\text{C}$  to remove moisture. Cathode composite was hand-mixed with a weight ratio of NMC811:LPSCI:VGCF = 66:31:3. The custom-made solid-state battery pellet cell made of two titanium rod current collector and 10 mm inner diameter polyether ether ketone (PEEK) holder was used for anode-free solid-state cell cycling. The 75 mg of LPSCI was compressed at 370 MPa as a solid-state separator. Afterward, Cu foil or Si-deposited Cu foil and NMC cathode composite ( $2\text{--}3 \text{ mAh/cm}^2$  loading) were inserted to each end of the separator layer and then pressed at 370 MPa. The as-fabricated cell was cycled with the stack pressure of 5 MPa and in a range of temperature from  $25^\circ\text{C}$  to  $80^\circ\text{C}$ .

### RESOURCE AVAILABILITY

#### Lead contact

Further information and requests for resources should be directed to and will be fulfilled by the lead contact, Ying Shirley Meng ([shirleymeng@uchicago.edu](mailto:shirleymeng@uchicago.edu)).

#### Materials availability

This study did not generate new, unique reagents.

#### Data and code availability

Requests for the data and analysis codes utilized in this work will be handled by the [lead contact](#), Ying Shirley Meng ([shirleymeng@uchicago.edu](mailto:shirleymeng@uchicago.edu)).

Requests about the phase-field modeling code utilized in this work will be handled by Lei Chen ([leicn@umich.edu](mailto:leicn@umich.edu)).

#### ACKNOWLEDGMENTS

This work is funded by the Energy Storage Research Alliance “ESRA” (DE-AC02-06CH11357), an Energy Innovation Hub funded by the US Department of Energy, Office of Science, Basic Energy Sciences. The solid state battery with Si seed layer design was funded by the LG Energy Solution through the Frontier Research Laboratory (FRL) program. PFIB data collection at Thermo Scientific Americas Nanopart electron microscopy facility was supported by the funding and collaboration agreement between UCSD and Thermo Fisher Scientific on Advanced Characterization of Energy Materials. K.T., Z.W., and L.C. are supported by the National Science Foundation under grants no. 2323475 and UM-Dearborn start-up. Parts of the PFIB experiments were conducted at the University of Southern California in the Core Center of Excellence in Nano Imaging. Part of the FIB-SEM in this work was performed at the San Diego Nanotechnology Infrastructure (SDNI) of the University of California, San Diego, a member of the National Nanotechnology Coordinated Infrastructure, which is supported by the National Science Foundation (grant ECCS-2025752). M.Z. thanks Diyi Cheng, Bingyu Lu, and Yu-Ting Chen for their assistance with the sample preparations. M.Z. thanks Brandon van Leer and Ken Wu for their assistance with PFIB data collection and analysis.

#### AUTHOR CONTRIBUTIONS

M.Z. conceived the project. M.Z., L.C., and Y.S.M. supervised the project. M.Z., K.T., Z.W., M.C., L.C., and Y.S.M. performed the mechanism and modeling analysis. M.Z., Z.L., L.L., and A.A. performed PFIB-EDS-EBSD experiments and analysis. S.-Y.H., R.S., and S.B. prepared samples for PFIB-EBS analysis. S.-Y.H. and H.Y. conducted electrochemical testing for anode-free solid-state batteries. M.Z. prepared the initial draft of the manuscript. M.Z., K.T., Z.W., M.C., S.-Y.H., R.S., L.C., and Y.S.M. organized the work and helped with the draft of the manuscript. All the authors discussed the results and approved the final version of the manuscript.

#### DECLARATION OF INTERESTS

A provisional patent application (U.S. Provisional Application no. 63/644,357) for this work has been filed by M.Z., S.-Y.H., and Y.S.M. through the Frontier Research Laboratory (FRL) program. This patent application contains claims related to the use of Si seed layer deposited onto Cu for use as a current collector in anode-free solid-state batteries.

#### SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.joule.2025.101847>.

Received: August 10, 2024

Revised: November 19, 2024

Accepted: January 23, 2025

Published: February 10, 2025

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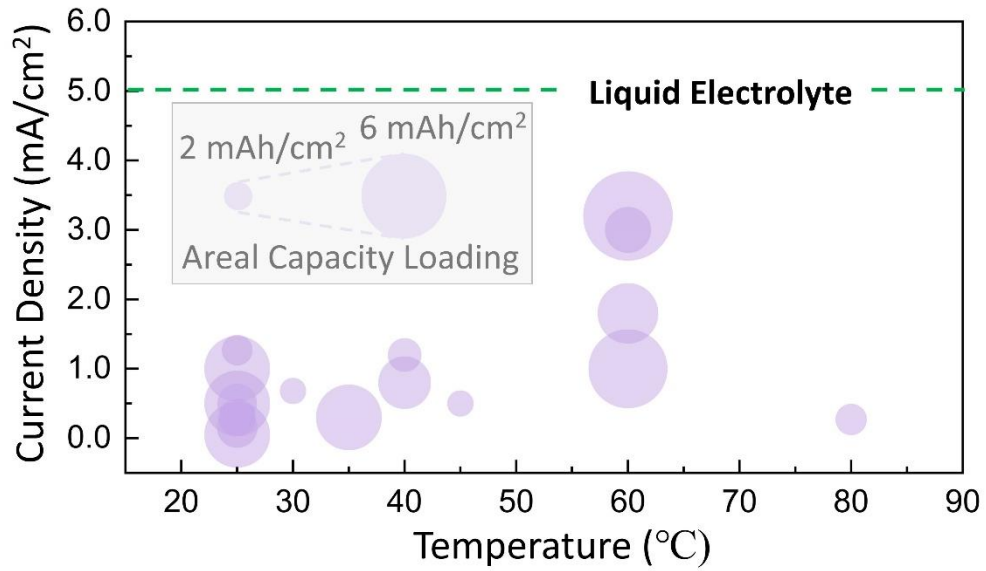
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**Joule, Volume 9**

**Supplemental information**

**Grain selection growth of soft metal  
in electrochemical processes**

**Minghao Zhang, Karnpiwat Tantratian, So-Yeon Ham, Zhuo Wang, Mehdi Chouchane, Ryosuke Shimizu, Shuang Bai, Hedi Yang, Zhao Liu, Letian Li, Amir Avishai, Lei Chen, and Ying Shirley Meng**

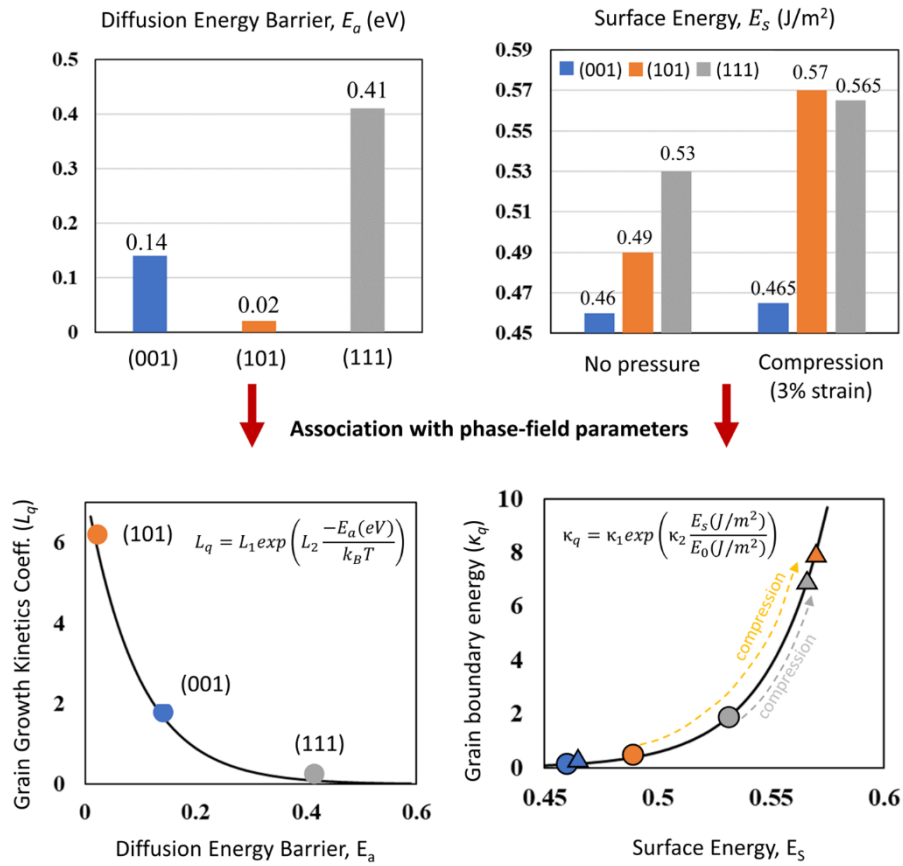


**Figure S1. Literature results summary for the performance limitations of solid-state batteries with Li metal anode.** To align with application considerations, we exclusively include results exhibiting areal deposition and stripping capacities exceeding 2 mAh/cm<sup>2</sup>. Data is available in **Table S1**.

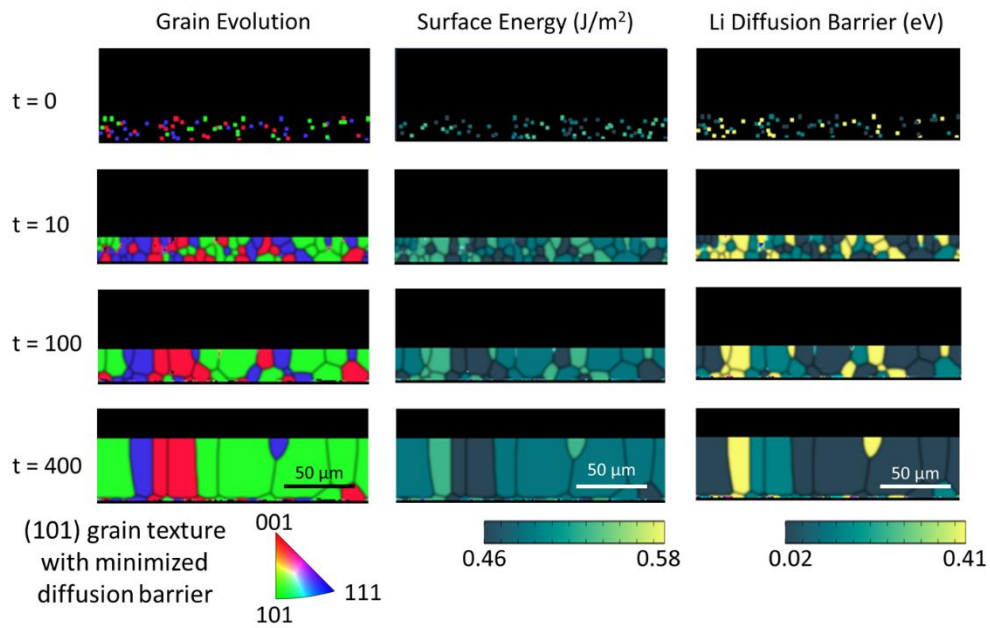
**Table S1. Literature data for the performance limitations of solid-state batteries with Li metal anode.**

Areal Capacity (mAh/cm <sup>2</sup> )	Current Density (mA/cm <sup>2</sup> )	Temperature (°C)	Stacking Pressure (MPa)	Working Electrode	Electrolyte	Counter Electrode	Ref.
2	0.5	45	5	Li	LPS	Ni based 3D porous anode	1
2	0.68	30	30	NMC	LPSClBr	Ni-C-Ag	1
2.33	1.27	25	N/A	Cobalt sulfide-Li <sub>7</sub> P <sub>3</sub> S <sub>11</sub>	Li <sub>2</sub> S and P <sub>2</sub> S <sub>5</sub> , Li <sub>10</sub> GeP <sub>2</sub> S <sub>12</sub>	Li	2
2.4	0.27	80	N/A	NCA	LLZO	Cu	3
2.56	1.2	40	5	NMC811	LPSCI	Li	4
2.83	0.3	25	N/A	LFP	LLZTO	Functional gradient lithium anode, Li-LiAl-LiF	5
3	0.5	25	15	Li	LPSCI	Cu	6
3.1	0.155	25	10	LCO	LLZTO-LiC <sub>6</sub>	LiPAA-Ag/Cu	7
3.5	3	60	3.5	Li	LPSCI	Ag-C	8
4	0.8	40	5	NMC811	LPSCI	Li	4
4.6	1.8	60	10	LFP	LLZO	Li	9
5	0.05	25	4	Li	LLZO	Cu	3
5	0.3	35	5, 10, 15	Li	LLZO	Li	9
5	0.5	25	13	Li	LPSCI	Te-Cu	10
5	1	25	N/A	Li	LLZO:PVDF:LITFSI	Graphene on 3D copper mesh	11
6	1	60	3.5	Li	LPSCI	Ag-C	8
6.8	3.2	60	2, 4	NMC622	LPSCI	Ag-C	12

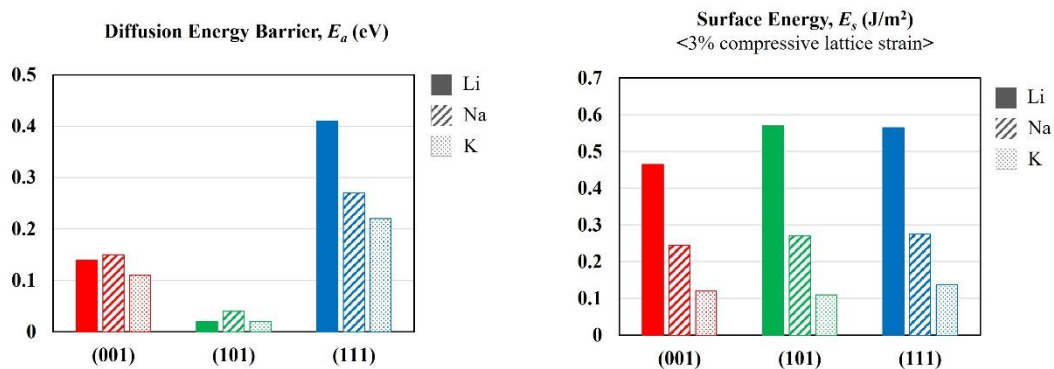




**Figure S2. Conversion from the DFT-derived data, including the Li atom diffusion energy barrier  $E_a$  (eV) and surface energy  $E_s$  ( $\text{J}/\text{m}^2$ ) to phase-field parameters, involving  $L_q$  and  $\kappa_q$ , respectively.**

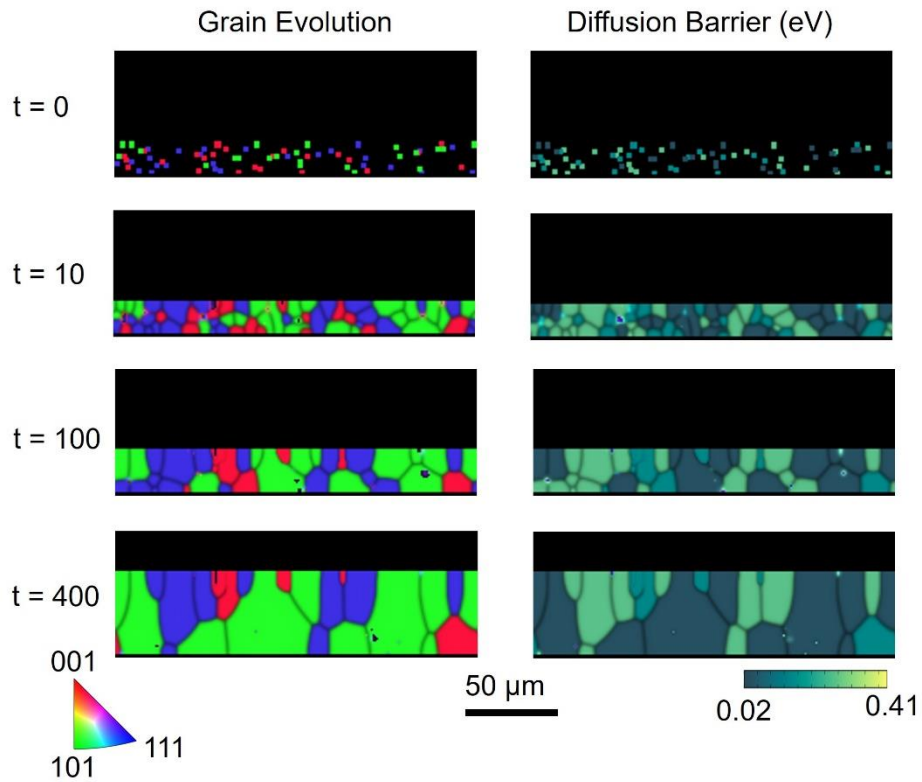


**Figure S3. Grain selection growth for Li metal with liquid electrolyte.** Phase-field simulations results showing the grain evolution during electroplating of Li on a Cu substrate with the liquid electrolyte, together with surface energy and Li diffusion barrier of each grain.



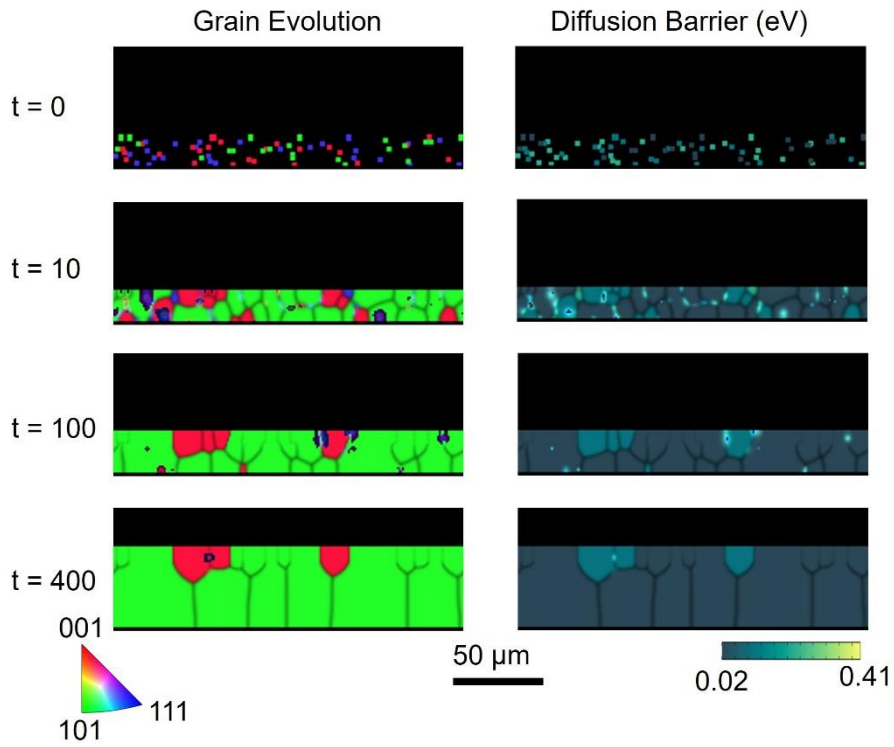
**Figure S4. DFT-calculated thermodynamics properties for Li, Na, and K.** The similarity among the three soft metals is that (101) offers the lowest diffusion barriers. The difference is in the surface energy, in which the surface energy anisotropy of Na and K shows less susceptibility to lattice strain.

## Atomic diffusion-controlled grain selection for Na



**Figure S5. Grain selection growth for Na metal through thermodynamic theory-based phase-field modeling.** Phase-field simulations showing the grain evolution during electroplating of Na on a Cu substrate with the SSE, together with the Na diffusion barrier of each grain.

## Atomic diffusion-controlled grain selection for K



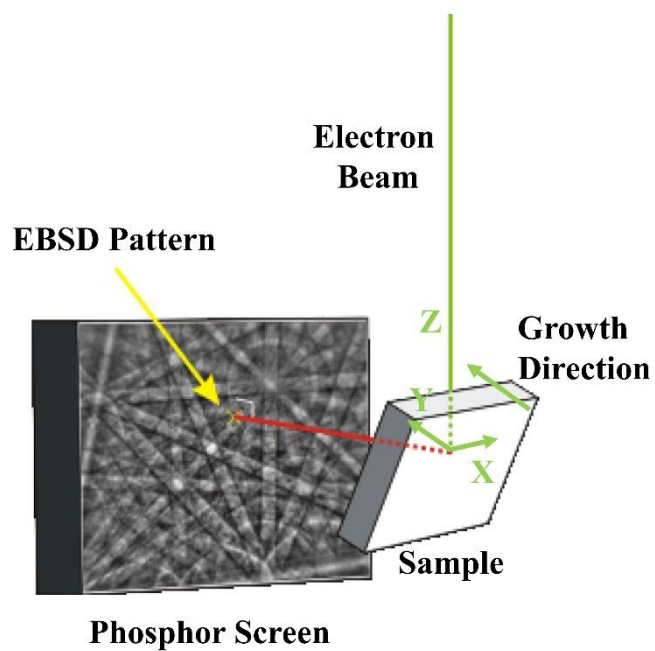
**Figure S6. Grain selection growth for K metal through thermodynamic theory-based phase-field modeling.** Phase-field simulations showing the grain evolution during electroplating of K on a Cu substrate with the SSE, together with the K diffusion barrier of each grain.

**Table S2. Lists of parameters used in temperature analysis for grain selection growth.**

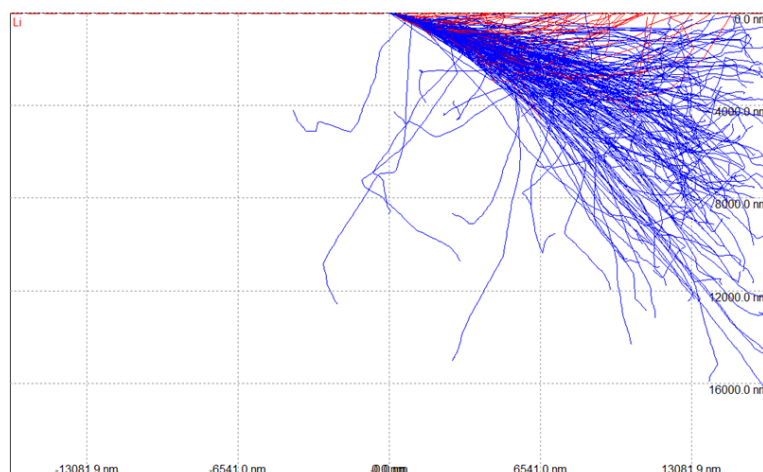
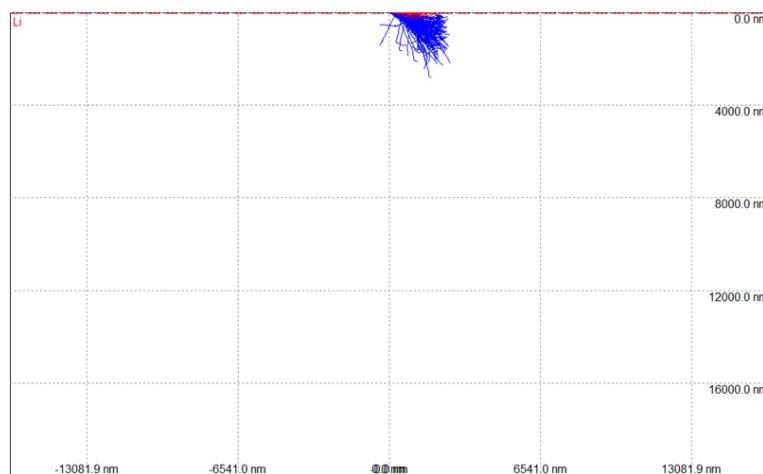
	Grain orientation	Surface energy (J/m <sup>2</sup> ), liquid	Surface energy (J/m <sup>2</sup> ), solid	DFT-diffusion barrier (eV)
	001	0.46	0.465	0.14
<b>Li</b>	101	0.49	0.570	0.02
	111	0.53	0.565	0.41

Parameter (symbol)	Unit	Value
Thickness ( $h$ )	m	$1 \times 10^{-5}$
Rate ( $R$ )	m/s	$1 \times 10^{-9}$
Grain size ( $L$ )	m	$1 \times 10^{-5}$
Yield strength ( $\sigma_y$ )	Pa	$0.55 \times 10^6$
Max. tensile and compressive strain ( $\varepsilon_T$ , $\varepsilon_c$ )	1	0.2
Boltzman's constant ( $k_B$ )	eV/K	$8.61 \times 10^{-5}$
Material constant ( $\beta$ )	1	1
Diffusion pre-factor ( $D_0$ )	m <sup>2</sup> /s	$1 \times 10^{-15}$

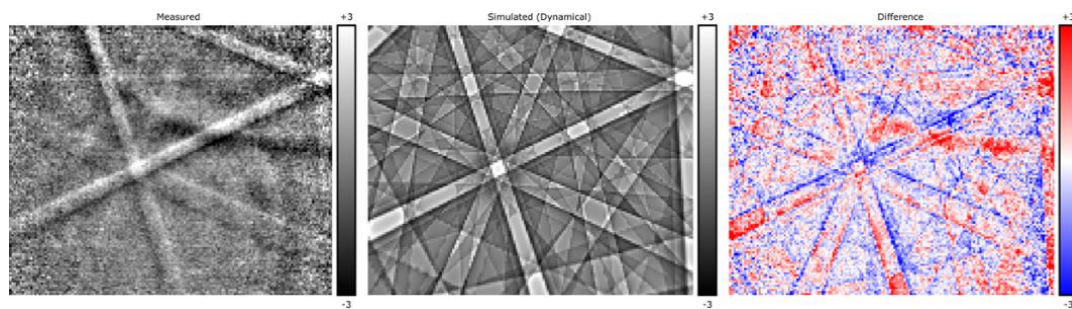


**Figure S7.** The schematic of the EBSD setup, where the deposited Li metal sample was mounted with the growth direction parallel to the Y coordinate of the stage reference.

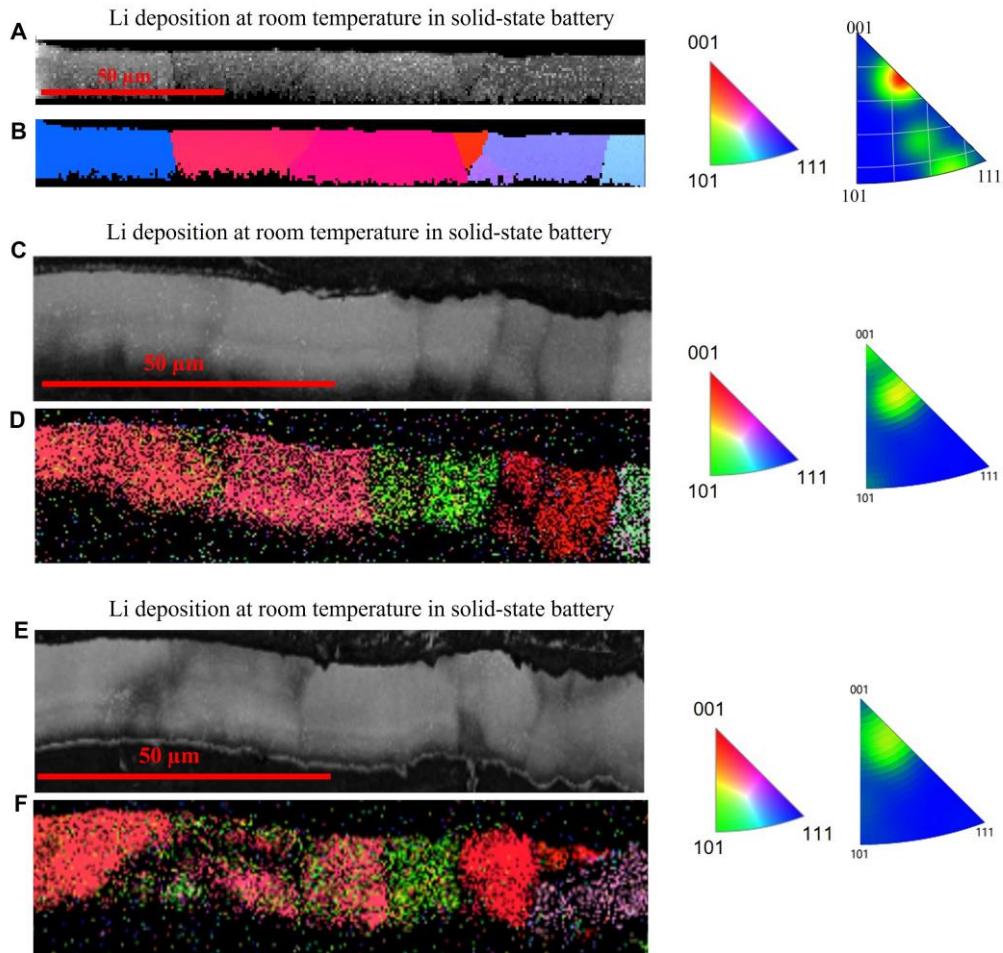
**A****B**

**Figure S8. Monte Carlo simulation of electron interaction volume in Li at a surface inclination of 70 degrees at (A) a beam acceleration voltage of 20 kV. (B) at a beam acceleration voltage of 7 kV. The detailed methods are provided in the previous work.<sup>13</sup>**

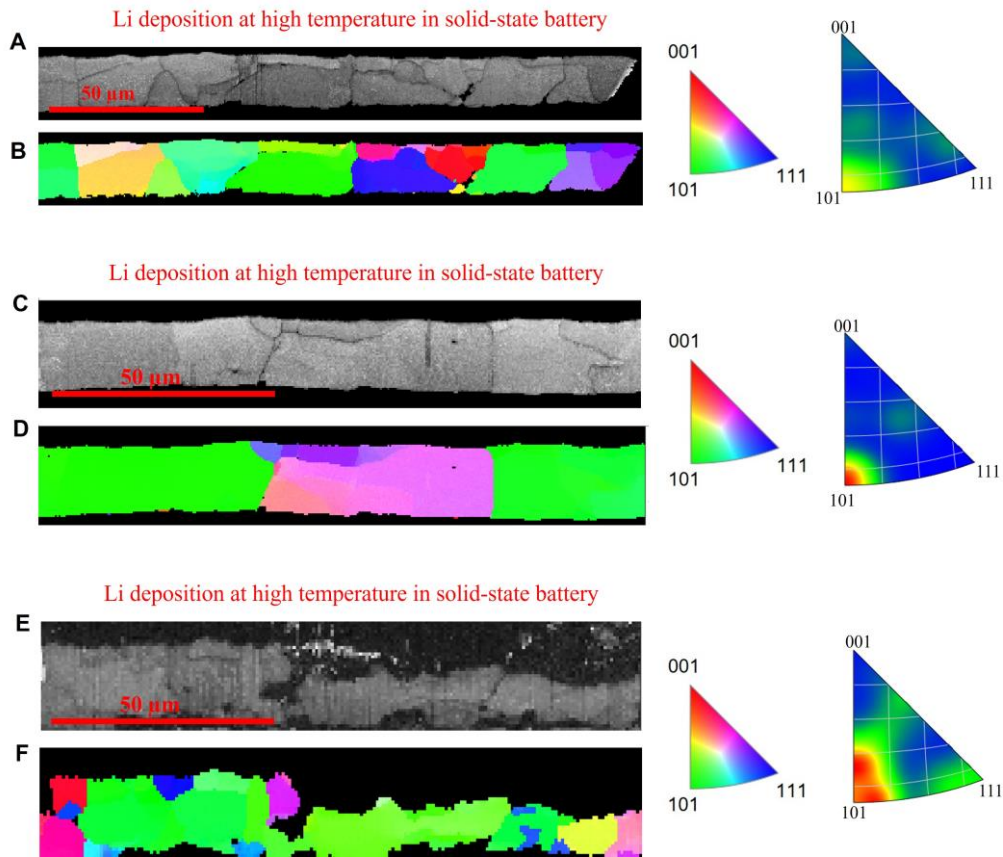




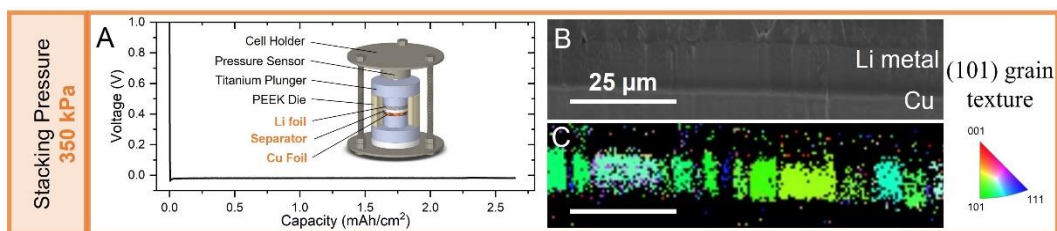
**Figure S9.** An example of the EBSD pattern together with the dynamical diffraction simulation and the pattern match quality of  $R=0.35$  using the “Mapsweeper” software package.



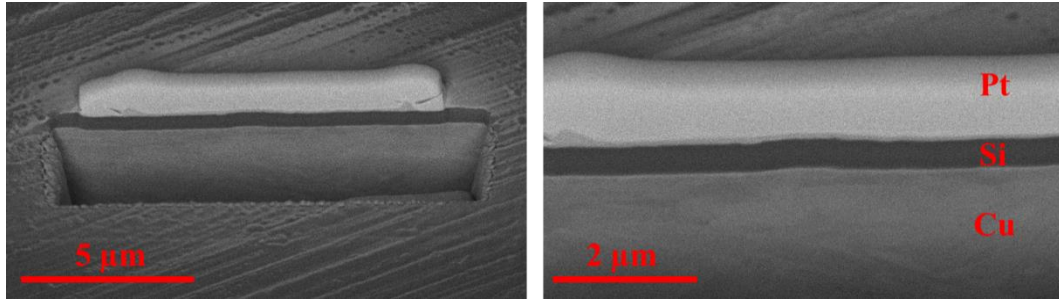
**Figure S10. Statistical analysis on grain selection growth of Li metal at room temperature in anode-free solid-state battery.** The band contrast image and EBSD mapping results along the growth direction for the deposited Li metal of sample 1 (**A**, **B**), sample 2 (**C**, **D**), and sample 3 (**E**, **F**). The inverse pole figures on the right illustrate the orientation for each pixel in the map.



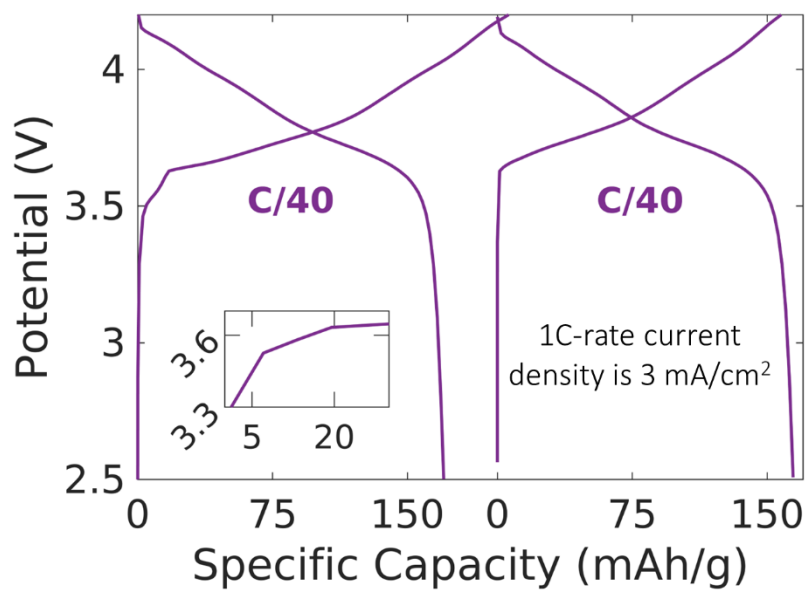
**Figure S11. Statistical analysis on grain selection growth of Li metal at high temperatures in anode-free solid-state battery.** The band contrast image and EBSD mapping results along the growth direction for the deposited Li metal of sample 1 (**A**, **B**), sample 2 (**C**, **D**), and sample 3 (**E**, **F**). The inverse pole figures on the right illustrate the orientation for each pixel in the map.



**Figure S12. Li metal texture deposited with liquid electrolyte. (A)** Voltage profile during the deposition process of a Li-Cu pressure cell, with the cell setup schematic as the inset. Plating current density is 0.1 mA/cm<sup>2</sup>. **(B)** Cross-section image of deposited Li metal and its corresponding EBSD mapping result in **(C)**. The electrolyte consists of 4.6 m lithium bis(fluorosulfonyl)imide and 2.3 m lithium bis(trifluoromethane sulfonyl)imide in 1,2-dimethoxyethane (DME).



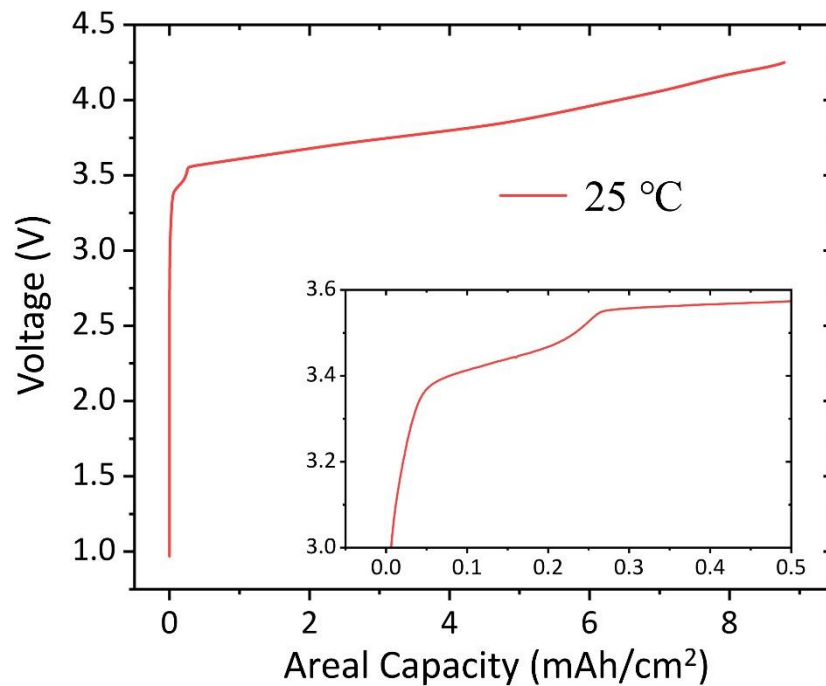
**Figure S13. FIB-SEM images for the cross-section view of the 500 nm Si layer deposited on a Cu substrate.**



**Figure S14. Electrochemical performance of anode-free solid-state full cell with amorphous  $\text{Li}_x\text{Si}$  as the seed layer at 25 °C.**

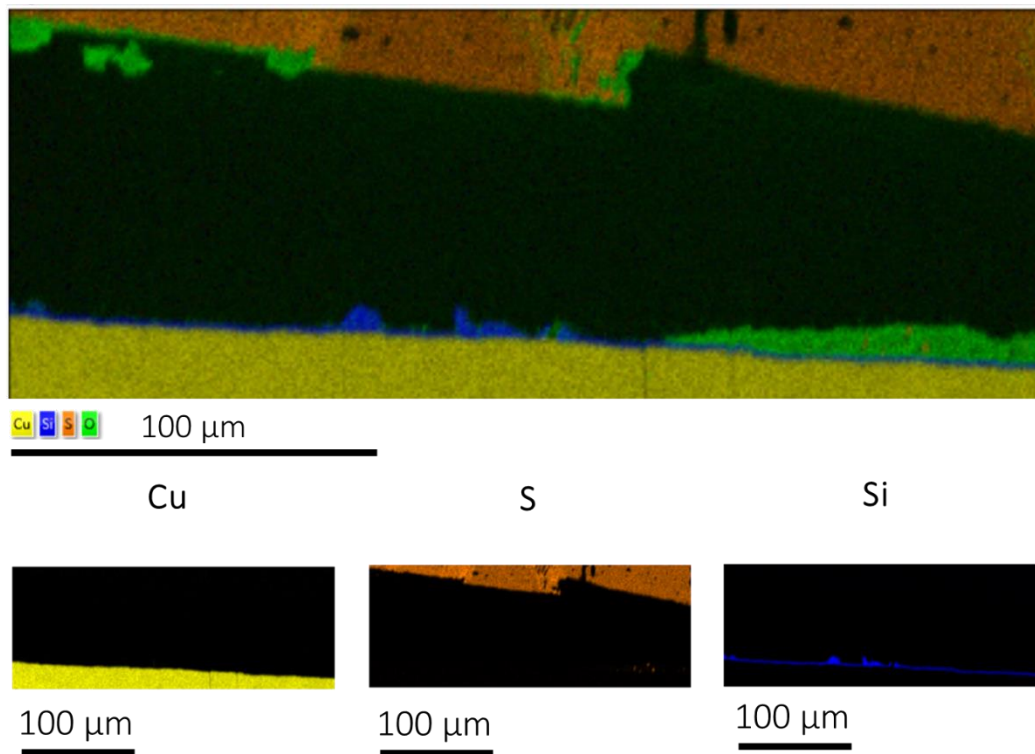
**Table S3. Literature data for the electrochemical performance of anode-free solid-state batteries at room temperature.** To align with application considerations, we exclusively include results exhibiting areal deposition and stripping capacities exceeding 2 mAh/cm<sup>2</sup>.

Areal Capacity (mAh/cm <sup>2</sup> )	Current Density (mA/cm <sup>2</sup> )	Stacking Pressure (MPa)	Working Electrode	Electrolyte	Counter Electrode	Ref.
3	1.5	5	NMC	LPSCI	Li <sub>x</sub> Si/Cu	This work
3	0.5	15	Li	LPSCI	Cu	6
3.1	0.155	10	LCO	LLZTO-LiC <sub>6</sub>	LiPAA-Ag/Cu	7
5	0.05	4	Li	LLZO	Cu	3
5	0.5	13	Li	LPSCI	Te-Cu	10

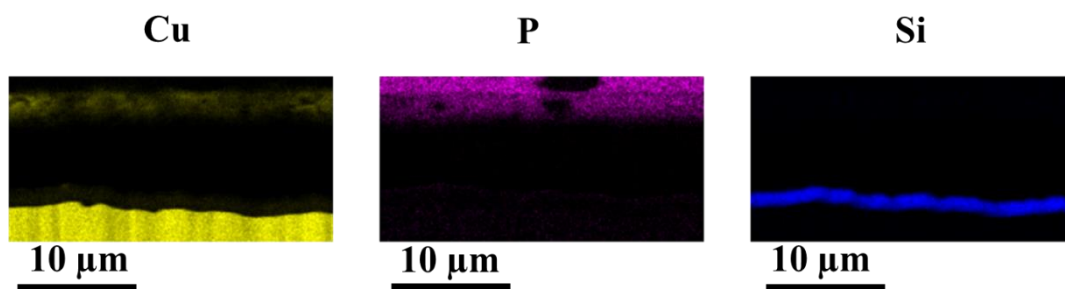


**Figure S15. Electrochemical performance of anode-free solid-state full cell with amorphous  $\text{Li}_x\text{Si}$  as the seed layer and a current density of  $0.2 \text{ mA/cm}^2$  at  $25 \text{ }^\circ\text{C}$ .**

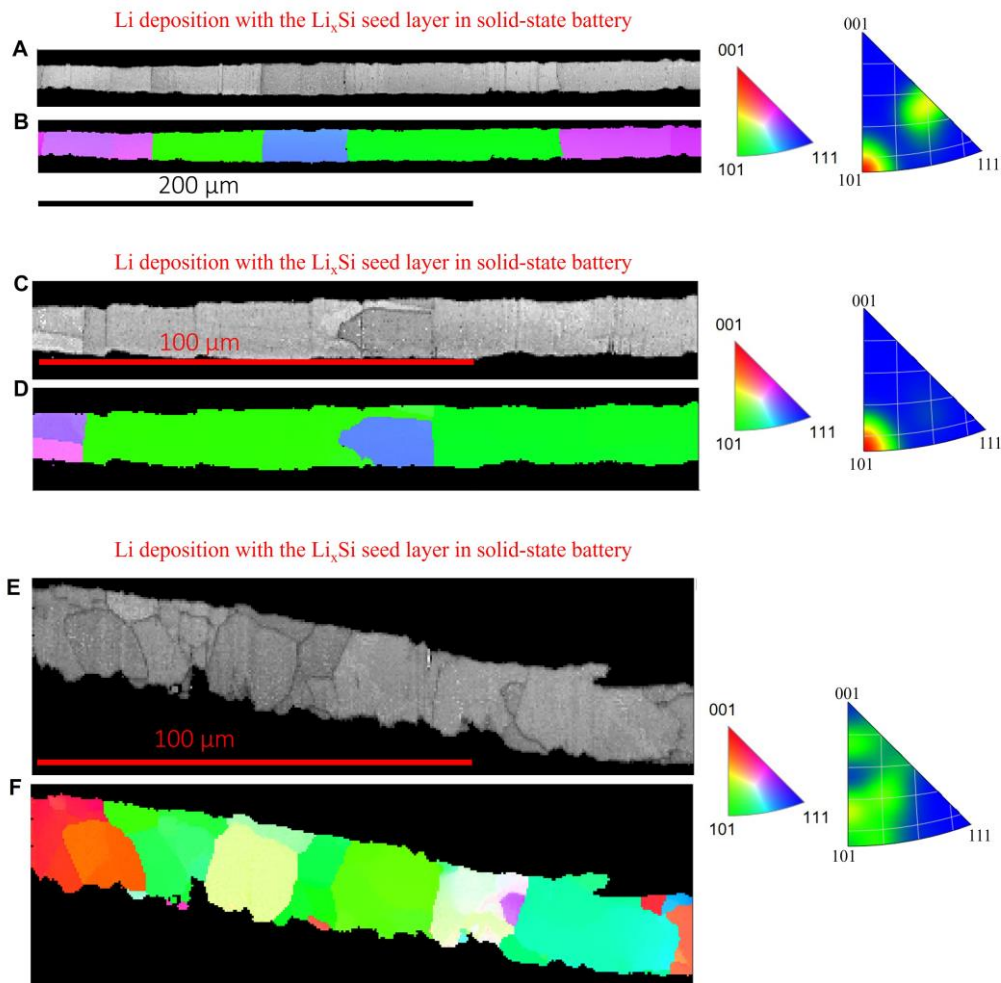




**Figure S16. PFIB-EDS mapping results for the Li metal deposited with the amorphous  $\text{Li}_x\text{Si}$  seed layer up to an areal capacity of  $9 \text{ mAh/cm}^2$  at  $25 \text{ }^\circ\text{C}$ .**



**Figure S17. PFIB-EDS mapping of the Li metal deposition with an areal capacity of 2 mAh/cm<sup>2</sup> on the amorphous Li<sub>x</sub>Si seed layer at 25 °C.**



**Figure S18.** Statistical analysis on grain selection growth of Li metal with amorphous  $\text{Li}_x\text{Si}$  as the seed layer in anode-free solid-state battery. The band contrast image and EBSD mapping results along the growth direction for the deposited Li metal of sample 1 (**A**, **B**), sample 2 (**C**, **D**), and sample 3 (**E**, **F**). The inverse pole figures on the right illustrate the orientation for each pixel in the map.

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